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INTERORGANIZATIONAL RELATIONS IN COMMUNITIES UNDER STRESS

by

Russell R. Dynes E.L. Quarantelli

Organizations are affected by an emergency in different ways. Some suspend operations, releasing their personnel and other resources for the use of disaster organizations. Others remain active but convert to emergency work. Some established organizations, such as the police and hospitals, continue tasks that are part of their normal predisaster responsibilities. Others, notably the Red Cross and Civil Defense, are prepared to deal with emergencies but must rapidly expand their staffs and resources to do so. Finally, some entirely new organizations are brought into being by the emergency.

Relations between organizations in the emergency environment are affected by a number of factors, four of which are considered. The first is the legitimacy of each organization's involvement in emergency activities. The second is the existence of established personal contacts between organizations. Third are the bonds that develop between suppliers and clients. Fourth is the emergence of an overall community coordinating body.

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ORGANIZATION UNDER STRESS

by

E.L. Quarantelli

The speaker describes the relations existing in time of stress between four types of organizations: (an established group carrying out regular tasks) would be exemplified by the official members of a city police force directing traffic after a tornado has struck a community. Type II (an emergent group with regular tasks) would be illustrated by Red Cross volunteers running a shelter after a hurricane. As for Type III (an established group undertaking non-regular tasks), an example of it would be a home construction company utilizing its men and equipment for digging through debris in the rescue work undertaken after a major explosion. Type IV (an emergent group engaged in non-regular tasks) would be represented by an ad hoc group made up of the City Engineer, County CD director, the local representative of the State Highway Department, and a Corps of Engineer Colonel, coordinating over-all community responses during a flood.

Recalling that Type I and Type II organizations are rather well known because of their pre- and post-disaster existence, he urges that more attention be given to the knowledge and planning of Types III and IV groups.

The text of the article may be found in:

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ADEQUACY AND INADEQUACY OF DISASTER PLANS AND PREPARATIONS

by A.L. Parr

Introduction

It is rare to find an American community today with a complete lack of disaster plans and preparations. However, when disaster strikes, many communities find that their plans and preparations are insufficient and in need of improvement. When community organizations have no practiced preparations which fit into an organized, overall disaster plan, response to the disaster tends to be too segmental, too limited in scope, and too much dominated by immediate tasks to provide efficiently for the more general, continuing human needs posed by a disaster.

It is the purpose of this brief paper to report findings about the adequacy and inadequacy of disaster plans and preparations in ten community crises in the United States. The ten cases have been selected from those studied by the Disaster Research Center (DRC) and include the following: (1) 1963 Indianapolis, Indiana Coliseum explosion, (2) 1964 Anchorage, Alaska earthquake, (3) 1964 Cincinnati, Ohio flood, (4) Wichita, Kansas plane crash, (5) 1966 Topeka, Kansas tornado, (6) 1967 Fairbanks, Alaska flood, (7) 1968 Jonesboro, Arkansas tornado, (8) 1969 Glendora, California flood and mudslides, (9) 1969 Sioux Falls, South Dakota flood, and (10) 1969 Minot, North Dakota flood. These disasters range from a progressive, diffused type, the Fairbanks flood, to an instantaneous, localized type, the Wichita plane crash. Our goal is to provide the disaster planner with findings that can be applied in formulating approaches to alleviate disaster disruptions. Given the high degree of similarity between American and Canadian societies, it is anticipated that the findings from these ten American community crises will be of assistance and interest to Canadians involved in disaster planning.

The Findings

Our focus is upon the social-organizational rather than the physical or engineering aspects of disaster planning. We shall deal with disaster plans in terms of assessment of the crisis situation, communication possibility, community authority structure, interorganizational co-ordination, and general weaknesses of disaster plans.

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Assessment of the Crisis Situation

In many communities the disaster plans do not assign an official or organization with the responsibility of assessing the situation to find out just what the overall emergency is and what it means. The typical response is for each organization to appraise the situation in terms of its own functions and needs, and then to retain the disaster information within the organization rather than sharing or pooling the knowledge on a community-wide basis. Disaster preparations seldom provide for systematic reconnaissance and other procedures for maintaining a central strategic overview of the crisis.

In Indianapolis, Anchorage, Fairbanks, Jonesboro, Glendora, and Minot the nature and extent of the damage to the community was not ascertained for a considerable period of time after disaster impact. However, this information was crucial and necessary in order to understand the overall dimensions of the tasks now facing the community. In contrast, disaster planning was more extensive in Cincinnati, Wichita, Topeka, and Sioux Falls and in these disasters knowledge about the number of dead and injured, the areas that were damaged, and the nature of the damage was more readily available.

Communication Possibility

The disaster preparations of a community frequently do not make any arrangements for emergency communications, and regular communication facilities are often inadequate or inoperative during a crisis. A large part of the disorganization which follows a disaster stems from the fact that normal methods of communication are often disrupted while the necessity for communication is increased. In addition, few disaster plans make any arrangements for disseminating disaster information to the community organizations, mass media, and general public. As a result varying and conflicting reports of the disaster sometimes arise and receive wide coverage through mass communication. Thus, two major types of communication problems arise in crises: (1) a lack of alternative means of communication, and (2) inaccurate communication content.

In Anchorage, Fairbanks, and Minot damage to telephone facilities and a lack of sufficient radio communication facilities resulted in inadequate communication during the crises. In comparison, the fire and police department radio communication facilities in Topeka and Sioux Falls were capable of handling a large volume of emergency communication, and in these two disasters, communication problems were minimal.

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Inaccurate communication about the flood crisis did not occur in Sioux Falls as it did in Fairbanks, Glendora, and Minot. The disaster plans of Sioux Falls specified that a daily briefing should be held for all organizational officials and anyone else seeking disaster information. Such a briefing took place daily at the emergency operations center, and, in addition, all news releases were made by only two officials, the co-ordinator or assistant co-ordinator of flood activities. In contrast, the disaster plans in Fairbanks, Glendora, and Minot did not make provisions for such communication possibility.

Community Authority Structure

Authority procedures are not always apparent once a community has been struck by disaster. When authority is not operative, the response of community organizations frequently occurs outside a chain of command. In situations where overall control is lacking, the occurrence of disputes concerning authority, responsibilities, and jurisdictions is not uncommon.

Disaster plans sometimes fail to designate a legitimate source of overall control of emergency activities, and they thus contribute to a community authority vacuum or ambiguity concerning which official, agency, or organization has the authority to make crucial decigions during a crisis. This was the case in Indianapolis, Fairbanks, Jonesboro, and For example, the disaster plan in Indianapolis specified that civil defense should assume overall control when a state of emergency is declared, but it did not make explicit a source of authority in a situation such as the Coliseum emplosion where no state of emergency was declared. The disaster plan in Sioux Falls, by way of contrast, indicated four alternative sources of authority: (1) mayor, (2) civil defense co-ordinator, (3) flood-control project superintendent, and (4) a long-time employee of the city light department. With this explicit definition of the chain of command, there was no ambiguity or lack of authority at any time during the flood crisis.

Few disaster plans make arrangements for the establishing of command posts at the disaster scene. Consequently, members of organizations involved in the disaster response have no one person or no central location in the field to turn to for advice and direction. This was the situation that developed in Indianapolis, Fairbanks, Jonesboro, Glendora, and Minot. In these disasters, officials of high rank and authority in the predisaster stage failed to set up command posts and exercise authority and leadership in the disaster system. Rather, they became directly involved in such activities as search and rescue, transportation of casualties, and traffic direction. In contrast, in Wichita and Topeka high-ranking organizational officials immediately set up command posts at the disaster sites, and thus insured overall control and command of disaster operations.

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Interorganizational Co-ordination

Most of the problems of disaster originate in the lack of co-ordination among the many groups and organizations. each of which is viewing and attempting to meet the needs of the disaster in terms of its own perspective and capabilities. The immediate problem in a disaster situation is neither uncontrolled behavior such as looting nor intense emotional reaction such as panic, but deficiencies of interorganizational co-ordination. It is often the case that allocation of resources is decentralized to a large number of organizations, each under pressure to act quickly and directly, and little or no attention is given to the linking of these resources by spanning the boundaries of the organizations. Most organizations involved in large-scale disasters lack predisaster understanding as to the scope of their activities and the necessity of co-ordinating their activities with one another. Specific organizations show some hesitancy in seeking co-ordination with others or assuming the responsibility themselves since it is seldom seen as being an inherent or traditional function of any one community organization.

Some disaster plans facilitate interorganizational co-ordination by arranging for the activation of an emergency operations center during a time of crisis. The plans frequently call for a representative from each key emergency organization to function from the center or at least for organizational representatives to attend community-wide meetings at the center. At these meetings representatives from the various involved organizations usually present reports of their respective activities. Through these reports each organization becomes aware of what other organizations are accomplishing, and consequently omission or needless duplication of crucial tasks is minimized. Problems arising during daily operations are considered at the meetings and generally through discussion a consensus is reached resolving the problem. Plans of action are frequently discussed and formulated.

In Cincinnati, Topeka, and Sioux Falls preplanning for an emergency operations center had been undertaken, and in these communities, these centers became functional and there was overall co-ordination and direction of organizational efforts throughout the crisis. In a number of the other disasters, Anchorage, Fairbanks, Jonesboro, Glendora, and Minot, a centralized, co-ordinating center did not exist at the beginning of the disaster primarily because of the lack of adequate and up-to-date preplanning. Thus, in the early stage of these crises, there was organizational atomization of the emergency response with community organizations carrying on their disaster activities independently of each other.

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Some General Weaknesses of Disaster Plans

Disaster frequently creates new tasks of undeniable immediacy which must be accomplished if the community is to continue to exist and function as a viable entity. These tasks are seldom anticipated and considered in disaster plans, and thus there is usually no prior assignment of organizational responsibility. For example, search-and-rescue activity is not often considered the major responsibility of any existing community organization. The task of information clearance is one which is usually not previously routinized within a community and often several organizations assume this task with conflict and confusion ensuing. Other disaster-generated tasks that are commonly unassigned include inventory of existing resources such as available food and fuel supplies after disaster impact, feeding and sheltering of refugees. issuing of access passes to the disaster area, control of vehicle and pedestrian convergence, effective use of volunteers in ongoing operations, and transportation and feeding of volunteers and disaster workers. In order to have an agreed upon, understood division of labor among different groups and organizations in disaster, disaster plans should take into account and assign the tasks that commonly occur in community crises.

Many communities prepare for a specific type of disaster such as a nuclear catastrophe when drawing up disaster plans, and preparations for natural disasters and other peacetime crises are often neglected. Consequently, when disaster strikes, the existing disaster plans may be inappropriate. This was the case in both Anchorage and Minot where the disaster plans and preparations were oriented toward a nuclear explosion, and could not be easily adapted to the earthquake and flood crises.

Disaster plans also frequently remain in the "paper" stage and are not rehearsed in simulation exercises. Through lack of practice, gaps in the plans and ineffective aspects in disaster preparations remain undetected. These quickly appear in the time of disaster and crucial time must be taken to make compensations for the inadequate "paper" arrangements. When there is a lack of rehearsals, there is also a general lack of familiarity with existing disaster plans and preparations, and yet effective plans must be understood and accepted by all those who have a part in them, including the general public. In Topeka, for example, yearly disaster simulations are held to coincide with the beginning of the tornado season, and in this community the disaster plans were very effective during the tornado crises.

To be effective disaster plans must be subjected to regular review and revision. All plans have to be up-to-date to be of optimum use and value in coping with a crisis.

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It is not unusual for disaster plans to be of limited value during a crisis because they have not been given any attention since the time they were first formulated. This situation existed in Indianapolis and Jonesboro where disaster plans and preparations had been neglected for a period of years, and as a result lists of available equipment and names and phone numbers were outdated.

Finally some disaster plans stress the physical aspects of disasters and pay insufficient attention to the social-organizational aspects of disaster response. For example, in Glendora, in preparation for flooding, sandbags had been stored in accessible locations and dykes and plywood barriers had been erected throughout the community. However, the plans and preparations were not adequate since no arrangements had been made for overall co-ordination of these disaster activities and for interorganizational communications. The scope of disaster plans should be sufficiently broad to encompass the social-organizational as well as the physical contingencies of disasters.

Conclusion

We have through the consideration of ten disasters studied by the Disaster Research Center attempted to identify some of the recurrent problems in disaster planning. Our underlying assumption has been that knowledge of what actually happens during disaster response is requisite for effective planning for community disasters.

The findings bear testimony to the need for large-scale disaster planning, and at the same time they should contribute to a more satisfactory basis for such planning. In other words, we hope the findings by pointing out the adequacy and inadequacy of some existing plans suggest directions that community leaders may take in planning to meet disasters effectively.

It is further hoped that the findings will contribute information and offer guidance to increase the efficiency of efforts to minimize destruction and to restore necessary facilities in disaster areas, and thus make a contribution to national survival in the event of a nuclear war. We have not, however, endeavoured to extrapolate our findings to thermonuclear situations. Perhaps after further study of peacetime disasters attempts can be undertaken to extrapolate findings from completed disaster studies to a kind of disaster that is difficult to envisage.

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ORGANIZATIONAL PROBLEMS IN DISASTERS

by E.L. Quarantelli

The Disaster Research Center, the only one of its Find in the world, was established at The Ohio State University in 1963. The Center is engaged in a variety of sociological research studies on the reactions of groups and organizations in community-wide emergencies, particularly natural disasters and civil disturbances. Since its inception, 122 different field studies have been carried Teams have gone to earthquakes (in Japan, Alaska, Chile, Yugoslavia, Iran, El Salvador and Greece), hurricanes (in Florida, Texas, Mississippi and Louisiana), floods (in Italy, Canada, Iowa, Montana, Texas, Alaska, Colorado, Virginia, California, Minnesota and the Dakotas), as well as tornadoes (in Arkansas, Indiana, Mississippi, Iowa and Kansas). Large explosions and fires toxic incidents, destructive seismic waves and major dam breaks have also been studied in Australia, Italy, Canada and different sections of the United States. Center personnel have examined civil disturbances in Cincinnati, Los Angeles, Detroit and Indianapolis as well as elsewhere and are conducting special studies of legal aspects of disasters, cross-cultural responses to catastrophes and diffuse emergencies such as water pollution.

The Center has 19 professionals on its staff plus supporting clerical and secretarial personnel. It is headed by Professors Russell R. Dynes and E. L. Quarantelli, both members of the Department of Sociology in the University.

Field research teams ranging in size from two to five researchers are prepared to leave for any community emergency on two-hours notice. Longer range research focused on emergency-induced community change is conducted as well as immediate on-the-spot studies. Alaska, New Orleans, Topeka and Indianapolis were restudied several years after major emergencies. In addition, sixteen cities around the country ranging from Brownsville, Texas and Buffalo, New York to Los Angeles, California and Miami, Florida are regularly monitored for community crises.

A laboratory equipped with audio and visual devices is the locale of a supplementary part of the research program. In this laboratory, simulated conditions are created to parallel real-life stress on social processes. This research has ranged from the study of the communication behavior of police dispatching units to an examination of cross-cultural interaction.

Together, the laboratory and the field operations are intended to provide basis knowledge about group behavior and social life as well as information which can be used to develop more effective plans for future emergencies. Besides collecting its own data, the Center also serves as a repository for documents and materials collected in previous research by other agencies and researchers. The Center's research library is open to all interested scholars and public and private agencies which cope with disaster problems. The Center has its own monograph series and also publishes a quarterly newsletter, <u>Unscheduled Events</u>, available upon request.

Support for the Center comes from diverse sources including the National Institute of Mental Health Center for Applied Social Problems, the U.S. Office of Civil Defense, the Department of the Interior, the Air Force Office of Scientific Research as well as other state and federal agencies, and from the University including the Mershon Social Science Program.

Today I want to talk about our studies on natural disasters, particularly on our findings from research sponsored by the Office of Civil Defense (O.C.D.). The Office of Civil Defense was one of our two earliest supporters and has contributed substantially to the Center's success. While OCD has had different interests at different times, we will today be making a general overall report, rather than talking about specific research projects.

The Role of Civil Defense in Disasters

At The Ohio State University Disaster Research Center, we have made more than 75 field studies of different community disasters. In these studies we have had the opportunity to see civil defense operations in a wide variety of contexts. One observation about civil defense stands out -- either civil defense is highly regarded, frequently consulted, and plays a major role in everything from minor crises to large-scale, community wide disasters, or civil defense is regarded in just the opposite way. In some communities it is very unfavorably viewed, seldom consulted in emergency planning and almost totally ignored when actual disasters occur. It is almost a dichotomy, either/or -- either civil defense is central to emergency responses or it plays no real part at all. Why? What accounts for the difference?

It is not the legal position of civil defense that makes it an important element or not important. Legally, practically everywhere in the United States, civil defense is supposed to play a major role at time of disaster, but whether it plays a key role or not is dependent on something other than its legal position. At times the law is blithly and totally ignored, at other times civil defense is far more central in an emergency response than the law either requires or demands.

Two factors at least seem to determine the acceptability and actual responsibility given to civil defense. One is what civil defense has contributed in prior disasters -- or more accurately, emergencies -- in the community. If civil defense has shown value in an earlier community crisis, other community agencies, officials and groups will turn to it in a new emergency. Geographic areas subject to predictable and regular disasters tend to have respected and viable civil defense groups. Their contributions and operations in past disasters gives them a powerful leverage in disaster planning and makes them listened to when a new emergency occurs.

There is, however, another way by which civil defense can establish and legitimatize itself in a community. This is to provide well thought out and overall disaster plans. But disaster planning requires knowledge and it requires knowledge of the problems that are actually likely to occur in a major emergency. But it is actually such knowledge that is normally in short supply in communities not subject regularly to disasters.

If you are interested in developing emergency planning, let me suggest some guidelines based on experience of what realistically are and are not problems in community disasters. Before getting into any details, let me indicate my starting point.

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Disaster or Emergancy Planning

It is that the efficiency and effectiveness of a community response to a disaster is dependent on the integration and coordination of the organizations in the area. This is not just simply paying lip service to the value placed on local autonomy of community organizations. It is a fact of life that the speed of recovery, the solving of problems and all aspects of a disaster are dependent on the response of the local community organizations. While much assistance and help may be brought in from outside the community, it is the local groups that will affect if and how such outside assistance is obtained and utilized. Only in very devastating disasters where the personnel of local organizations might be literally obliterated, would non-local groups become the key groups.

Now in disaster planning or emergency planning, where it is assumed local organizations will be the bey units, there are three different aspects that have to be taken into account.

- 1. The victim population. What can disaster planning assume and not assume about the victims or the impacted population, the community residents or whatever you want to call the general populace that will be involved? As I shall indicate in just a little while, there are certain myths about the responses of people to disasters. These myths, if accepted as being true, can make a hash of disaster planning and good organizational response.
- 2. The community organizations themselves. What should disaster planning focus on -- and given the composition of this audience -- where might civil defense best fit in? As I shall discuss soon, different types of erganizations respond in different ways to disasters and it is crucial to take such differences into account in planning.
- 3. Finally, while local community organizations are the keystone to emergency responses in American society, in any but the most minor of disasters, outside help and assistance of some kind will be needed. Local organizations, especially any coordinating group can only operate effectively if they know probable points of difficulty and frictions in the contact between local and non-local organizations.

Chriously, if I went into details on even just one of these aspects, I would take up all the rest of the day. I will have to be very selective in my remarks and hit on what I consider the most important points for disaster planning.

Let me restate again that my remarks are based on a large number of observations of different organizations in many disasters in different parts of the country. Each disaster has its unique aspects, but there are common elements and these are the only things upon which planning can be based. The things I will mention are those features that are most probable, those that typically can be seen in disaster after disaster. You might, on the base of an experience here or there, think of an exception to the patterns I shall depict. But exceptions should not blind one to typical and probable patterns. In planning one has to plan on the likelihood of probabilities, not the exceptional, the rare or the unusual instance.

Myths About Disaster Responses

I made reference to myths earlier when talking of how individuals, the victim population, react. Let me mention three of them and suggest some implications for organization response and disaster planning.

1. The "Panic" myth. This is one that is deeply entrenched in the thinking of many people and is constantly reinforced by journalists, mass media presentations and literary accounts. It is thought that groups and persons will engage in many irrational acts, make illogical decisions and show a strong anti-social disregard for others in the crisis situation. The "Panic" myth implies the general expectation of rather widespread chaos and pandemonium, at both the individual and group level. The scene is visualized in terms of hysteria, irrationality, dis-organization and disorder. If you think I have overdrawn the Panic myth, I assure you that I could strongly document it. Not only would it be easy to document the fact that the 'Panic' image exists, but more important, that responsible officials often act as if it were a correct picture of what occurs in disasters. You can find situations where persons in positions of responsibility did not evacuate an area because they were afraid of setting off a wild, hysterical flight; further more, time, energy and resources are spent planning on how to prevent such reactions. The "Panic' myth not only exists but is often the basis for action.

This at times leads to cruel dilemmas for key officials and others who have to make important decisions during emergencies. For instance, an official may feel that if he issues an evacuation warning, he will generate a panic flight. On the other hand, the official may be haunted by the possibility that if no action is taken to get people to leave, these persons may become the victims of a threatening danger. Either way the decision is a difficult one if the "Panic' myth dominates official thinking.

However, available research evidence suggests that most anticipated "Panic" behaviors are not too likely. People seldom flee wildly. In fact, the opposite is more of a problem, that of getting people to leave their homes or naighborhoods, even when danger is visible. This was true for example in Hurricane Camille.

For reasons we can not detail here, people in general can be expected to react rather well, rather rationally and with astounding little disorder and disorganization. Actually in the face of direct threat, people more often than in normal times, are careful about their behavior and attempt to think through the consequences of their acts. Action is far less impulsive than in everyday life, for when people feel their lives, those of leved ones or their property is in danger, they become cautious and careful.

It is true that, during times of stress, isolated cases of erratic or seemingly illogical behavior can be found. However, the overall picture is one of remarkable rationality and order. In general, it can be said that most human beings act in quite controlled and adaptive ways

in the face of the new and extreme stresses which they face during a large scale disaster. The atypical case, which is the delight of newspapermen, should not be confused with the average response, which is, primarily, what responsible organizational officials have to deal with in emergencies.

2. The "looting" myth. This notion seems to be even more deeply ingrained in the thinking of people and appears to be based on a Jekyll and Hyde conception of human beings. If you don't watch them or in a confused situation, human beings will break loose. Thus, it is believed looting is widespread in disasters.

The fact of the matter is just the opposite; looting is extremely rare -- almost totally non-existent by residents of the area. Reports of looting are widespread. However, actual arrest and report figures show crime rates drop. Such rare looting as occurs tends to take place after the immediate emergency period and it is undertaken by non-local people. To put it bluntly, such evidence as there is suggests the minor looting that ensues often takes place by non-local security forces brought in mistakenly to prevent it. It is not accidental that this was one of the major reports that came out of the Gulf coast after Hurricane Camille.

In most disasters, security measures are really very loose -- even a day or two later it is remarkably easy to bypass security. Yet even in situations of little or no security, there still is no looting. Most of this is due to the development of a community consensus, which we have discussed in detail elsewhere.

I do not want to imply total disregard of security. Some is needed if for no other reason than the symbolism involved; also, it is needed in later stages of emergency period although use is mostly in traffic control. The point I am making is the need to been a balanced view on this matter. There is a tendency to use resources and personnel on this matter that could be better used on other tasks.

3. The 'passivity' image or what I sometimes call the "Big Brother" image. There is a widespread notion that disaster victims are passive and docile, that they are devoid of initiative and that they have to have everything done for them by others, especially by organized groups. The picture basically is one of impotent individuals waiting almost childishly for someone to take care of them. Hence, the label "Big Brother," for the general expectation is that disaster victims are apathetically dependent on 'Big Brother' in the form of relief and rehabilitation workers.

Again, it might be felt that the picture is overdrawn. However, once more, it would be easy to document the fact that this image guides the thinking and planning of many disaster organizations and their personnel. Time, money, manpower and other resources are directed towards dealing with problems implied by the "Big Brother" image. For instance, exhaustive efforts are frequently made to provide emergency mass housing (which in this country is almost never used by disaster victims), while other more crucial problems are largely ignored such as

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the need for a centralized clearing house of information regarding whereabouts and conditions of evacuated victims.

As in the instance of the "Panic" myth, the "Big Brother" image is also incorrect. However, in no sense is this to be taken as in any way questioning the absolute need for organizational activities in disasters or the fact that such groups carry out many crucial emergency tasks. Instead, what I am trying to indicate here is that the actual problems of organizations reside elsewhere than in what the "Big Brother" image implies. The major difficulties that organizations typically have in major catastrophes are in other areas, and planning should be directed in those directions. If efforts and resources are to be most effectively utilized, they have to be used in connection with actual and not mythical difficulties.

What do we at the DRC see as the real and major problem areas for organizations in disasters? As far as we can tell, they revolve around matters of <u>communication</u>, <u>authority and coordination</u>. This is not to say that there are problems in these areas alone. However, if the difficulties that arise in such matters were resolved, few organizations would have serious troubles in responding to community-wide emergencies.

Communications

First of all, let me discuss communication matters. In some rare instances, communication problems stem from equipment scarcity, emerge from damaged facilities or from other destruction of the physical means of communicating. However, in the vast number of cases, the problems are not in the mechanisms but in the process itself. That is, the physical means for communications will be present, but they will not be used in the most appropriate way or will not be employed at all. Thus, difficulties in communication are more often the result of social or group malfunctionings, rather than the consequence of engineering or technical breekdowns.

It is possible to see communication difficulties as existing in one of four different categories: (1) within individual organizations: (2) between organizations; (3) from organizations to the public; and (4) from the public to organizations.

First of all, organizations have to communicate internally; exchange of information has to go on among the members of the group. Normally, the communication system is geared to processing and exchanging a certain amount of information. However, in a disaster, you generally have an expansion of staff members of organizations. Double shifts may be employed or volunteers may be incorporated into the work force. The normal communication system often cannot take care of the additional load of information that has to be exchanged because of the added staff. In a sense, the extra demands upon the internal communication system will frequently exceed the capabilities available, with consequent retardation if not loss of information flow among staff members.

Normally, too, communications are supposed to go through certain channels.

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In non-crisis situations, the lines of communication from the top to the bottom in the chain of command and from the bottom to the top, are relatively clear-cut, so that it is fairly obvious who is supposed to get what information as well as where and when the information is to be exchanged. However, during an emergency you may get two, three or even four occupants in the same position normally occupied by one person. Officials may also take over jobs they do not routinely undertake, or they may work at emergency positions in the organizational structure. Consequently, the normal channels of communication are usually not sufficient to insure that all relevant information will circulate to and from those persons in the group who should be informed of organizational activities.

Difficulties may develop along a second dimension, that of communication between organizations. The reason for potential problems here appears to be twofold. In normal, everyday, routine contact between organizations, much of the interaction proceeds on an informal basis. Officials will often be talking with known persons, if not friends. When a disaster occurs and there are changes in the organizational structure, the informal basic of communication may not suffice. Contacts may have to be established and maintained with unfamiliar individuals occupying official positions in other organizations.

Furthermore, community emergencies typically precipitate relationships between organizations not normally in contact with one another, so that groups have to forge new links with previously unrelated organizations and develop contacts they have not had before the disaster. This is difficult to do, particularly under the pressures of a disaster situation. Communications between organizations will frequently not proceed smoothly under such circumstances.

A third category in which problems may arise, is in communication from organization to the general public. One major source of difficulty here is that what is meaningful information to organizational personnel often may not mean much to people in general. This stems from the fact that an official group will frequently gather detailed information about what is going on, and obtain a general picture of the overall situation. On the basis of that information, the organization will issue some advice, some instruction to the general public which, however, will omit or ignore in the announcement the background and general picture known to group officials. For instance, an announcement will be made to people to leave a danger area. That will be the sum total of the statement: "evacuate X street or Y neighborhood." The officials issuing the statement may know quite well the limits of the endangered zones, and the degree of safety likely in different places, but none of this will appear in the announcement. Thus, the warned population is forced into the position of trying to ascertain which are and which are not the danger areas, and where it might be safe to evacuate. Too often organizations which are well informed about emergency events and possibilities will assume that their public statements will be as clear to everyone as they are to themselves.

The final category in which there may be difficulties is communication from the public to the different organizations. There seem to be several aspects to this. After a disaster, but in some situations even prior to its actual occurrence, people will bembard organizations with requests for aid and information. They will ask the more visible kinds of public groups what should be done, where certain things can be obtained, and so forth. A frequent result of this is that the organizational system for processing information will not function as it should.

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The effect of the flood of telephone calls to police departments or hospitals during emergencies in a community is typical. The police or hospital switchboard becomes so overloaded with calls that all communication, in or out of the organization, is interminably delayed.

Organizations also find themselves often subject to all sorts of new kinds of requests in addition to being overloaded with requests for normal kinds of aid and information. Groups will be asked about things which they never or very infrequently are asked about. Few organizations are prepared to deal with non-routine questions: persons who man switchboards or complaint desks thus often find themselves unable to adapt to the new kind of questions.

Generally, problems in the area of communication are the most serious ones. If difficulties in this area are not solved, there is no great need to worry about other kinds of problems. Rapid and accurate communications are the core of any effective organizational response to emergencies; without communications other problems cannot be solved.

Authority

Somewhat more briefly now I want to discuss matters regarding lines of authority. This is the second major problem area for organizations operating in disaster situations. However, certain anticipated troubles along this line are rare.

There are seldom any difficulties in maintaining authority within established groups. In fact, I cannot think of a single case which could be used to illustrate a traditional organization's loss of formal control over its own personnel. This does not seem to occur, or if it does, it occurs in so minor a degree that it is of no practical consequence.

Neither are there many problems that arise from questions concerning which organizations have authority and responsibility for traditional tasks. For example, there is never any dispute or conflict over who fights fires, repairs telephones, or over other tasks of that kind. Such matters are traditional responsibilities of certain community groups.

However, problems of authority during disasters do occur with respect to two other matters. Often there are difficulties between organizations regarding which group is responsible for new tasks created by a disaster. For instance, who is responsible for and has authority with regard to search and rescue activities? This is frequently a problem of major magnitude in many community emergencies.

Also, authority problems sometimes arise between emergent groups and more traditional organizations with respect to old tasks. For instance, since security of an area is considered a traditional police function, few difficulties arise in developing a pass system in conjunction with such groups as the National Guard or Federal troops. However, it sometimes happens that police and a new city agency or perhaps even civil defense will not fully agree on a pass system. The traditional organization sees certain activities as its responsibility alone, and disputes will occur if new groups are thought to be attempting to take over an old task such as that of maintaining security within an area. Many of the difficulties surrounding pass systems (and they typically arise in disaster situations) revolve

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around the issue of who has the authority, the traditional and established organizations or the emergent or newly created groups in the community?

While problems of authority are generally less serious in consequence than problems in the communication area, they are often more difficult to solve. In part, this is so because the question of organizational authority involves the whole fabric of formal and informal power in a community. This is a delicate and sensitive matter and full of pitfalls for anyone unsophisticated as to the structure and functioning of groups. Therefore, it is not surprising that authority problems are difficult to plan for, and equally hard to handle when they do arise in emergency situations.

Coordination

I now want to make a very few remarks about the third major problem area which the DRC has explored. I refer to problems having to do with coordination and decision-making. There seems to be at least three different aspects of this matter.

For one, organizations frequently have a great deal of difficulty in coordinating the activities of their volunteers. Unless many preparations have been made beforehand, innumerable difficulties arise as to what, where and how volunteers are to be used. Furthermore, most groups find it difficult to mesh the work of volunteers with the regular tasks of their usual labor force.

It is not even easy to plan for volunteers. Whatever planning is undertaken, it can rarely fully prepare for the quantity and quality of volunteers that appear. In other words, it is very difficult to anticipate how many and what kinds of people will volunteer their services to organizations during a community emergency.

Coordination between organizations working on common but new tasks is also difficult. Even groups that are used to working together, such as police and fire departments, may have problems when they try to integrate their activities in a novel task, such as search and rescue. While policemen and firemen may be used to looking through a building or two, in the instance of a major disaster, having to search whole neighborhoods, poses new problems of coordination and decision-making between the two organizations even though they have worked together before.

Finally, I want to note that there are problems arising from matters having to do with overall coordination and decision-making. This is not only an important matter, but is probably the most difficult problem to handle. It is an area in which there almost inevitably there are some difficulties.

Some of the problem stems from the fact that in the typical disaster, at least four different types of groups get involved. But their very involvement creates coordination problems. In some cases, difficulties in coordinating every group helping in the emergency can be more of a problem than the actual disaster itself.

What are the groups that get involved? They are:

1. The regular community emergency groups. They include such organizations

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as the police and fire departments, hospitals, utilities, etc. In general, they stick to their traditional or regular tasks, and if they do that, they have relatively few problems.

- 2. Standby emergency groups. They are illustrated by such groups as the Red Cross and the Salvation Army. These are organizations that in normal times have cortain non-disaster tasks that are carried out by a small core of professional staff personnel. At the time of a disaster, however, these groups not only expand tremendously through the taking on of volunteers, but they undertake new, emergency tasks or functions that are often quite different from their regular, daily, non-disaster tasks.
- 3. Voluntary associations such as service clubs, contractors, Boy Scouts and similar groups. They have no everyday emergency structures, tasks or responsibilities. But during an emergency they tend to take on in a very selective fashion, new structures and new tasks in connection with the disaster.
- 4. Coordinating groups. The activities during a disaster of a number of the three just mentioned groups, agencies and organizations creates the need for coordination of their activities. The consequences is the appearance by plan in some cases, by accident in most instances of a coordinating group. This emergent group attempts to bring about overall coordination in the various community responses to the disaster.

Since civil defense -- by design or by accident -- frequently plays some role in coordination of this nature, we want new to consider more specifically the operation of civil defense in major community emergencies. At the local community level, we may ask what is civil defense, what is its perceived role in disasters, and what are some of its problems? These and related matters will be discussed in the paper to be presented by Prof. Dynes.