



U.S. Department  
of Transportation

**Research and  
Special Programs  
Administration**

**PENALTY ACTIONS TAKEN BY THE DEPARTMENT OF TRANSPORTATION  
FOR VIOLATIONS OF  
THE HAZARDOUS MATERIALS TRANSPORTATION REGULATIONS**

This publication summarizes penalty actions taken by the operating administrations of the Department of Transportation which have authority and responsibility for enforcing the Hazardous Materials Transportation Regulations. The penalties are grouped by the administration conducting the enforcement action. Each case contains the name of the shipper, carrier, or person(s) involved, a description of the violation; reference to the regulation violated; and the penalty collected.

The penalties cited do not explain the mitigating or aggravating factors that, in accordance with the statutory assessment criteria, were considered in arriving at the final penalty and account for penalty variations in cases that are somewhat factually similar.

**TOTAL PENALTIES COLLECTED BY ADMINISTRATION BY FISCAL YEAR**

	FY 85	FY 86	FY 87	FY 88
FAA - Air	291,100	383,250	305,900	154,100
FHWA - Highway	437,225	366,500	292,300	218,650
FRA - Rail	655,050	621,575	646,750	396,425
RSPA - Intermodal	127,925	79,163	126,625	335,900
USCG - Water	<u>104,100</u>	<u>23,645</u>	<u>83,150</u>	<u>87,900</u>
	\$1,615,400	\$1,474,133	\$1,454,725	\$1,192,975

The regulations referenced in each case summary are codified in the appropriate regulations identified below:

- CFR Title 33, Navigation and Navigable Waters, Parts 1-109
- CFR Title 46, Shipping, Parts 1-195
- CFR Title 49, Transportation, Parts 100-199 and 300-399
- International Civil Aviation Organization (ICAO) Technical Instructions for the Safe Transport of Dangerous Goods by Air

For questions concerning these actions, contact the following persons in the appropriate agency:

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